



To: Principal Officers and Compliance Officers of APMI Members + Non-Members + Not Registered

Subject: Number of Clients & AUM Data

Dated: 18th May 2024

Greetings from APMI!

With reference to the email below from SEBI, we kindly request your cooperation in completing the below survey.

• **Survey**

Link: https://docs.google.com/forms/d/1we_n0eksV367225Q0zocYFoajuLupVRRpi61on0vKAg/edit

• **Survey Closure Date:** **Thursday 23rd May at 7.00 pm**

Your participation is crucial for ensuring the accurate and timely submission of the required data to the regulator. In case you have any query on the above please feel free to write to us at support@apmiindia.org

Thank you for your continued support and cooperation.

Warm Regards

Association of Portfolio Managers in India

Contact us: <https://apmiindia.org/apmi/contactus.htm>

Web: <https://apmiindia.org/apmi/welcome.htm>

----- Forwarded message -----

From: **Tarun Garg** <tarung@sebi.gov.in>

Date: Fri, May 17, 2024 at 4:25 PM

Subject: PMS Data

To: Rashim Bagga <rashim.bagga@apmiindia.org>



Cc: MANASWINI MAHAPATRA <manaswinim@sebi.gov.in>, PETER MARDI <peterm@sebi.gov.in>

Sir,

APMI is requested to seek the following data from all the Portfolio Managers:

1. Number of clients (service-wise i.e., DPMS and NDPMS) who were on boarded with initial invested value below INR 50 lakhs.
2. Out of the total number of clients identified above, number of clients who have crossed the threshold AUM of INR 50 Lakhs (as per current value of the portfolio).
3. AUM of such clients as identified in point 1 and 2 above.

The consolidated data may be provided by May 23, 2023.

Regards,

Tarun Kumar Garg

Manager

POD- Division-1, Investment Management Department

Securities and Exchange Board of India(SEBI)