



To: Compliance Officers and Principal of all APMI Members

Subject: APMI Compliance Sutra Calendar for November'23

Date: 1st November 2023

Greetings from APMI!

This is in continuation of APMI's initiative of **Compliance Sutra** which was formally launched on 1st September'23.

Since our launch we have been conducting **2 online sessions each month for our members** with an external advisor/s. These sessions are aimed towards addressing the queries raised by our members on the APMI query management portal **plus** we endeavour to cover the latest SEBI Circular/Order or any implementation which has come in from the regulator and help our members in executing the same.

- **The schedule of Compliance Sutra calls for the month of November 2023 is as follows:**
 - **First call: Wednesday, 8th November 2023 from 3.00 pm till 4.30 pm**
 - **Second call: Wednesday, 22nd November 2023 from 3.00 pm till 4.30 pm.**
 - Meeting link: <https://meet.google.com/vnv-chxw-szh>
- The calls for the month of November will be focused on the SEBI Circular on Cyber Security and Cyber Resilience framework for Portfolio Managers-which has got effective from 1st October'23 for Portfolio Managers having an AUM of over Rs. 3000 Crs and above (NDPMS +DPMS) as on 30th September'23.



- During these calls APMI external advisor/s will be discussing the various aspects and importance of Cyber Security.
- Details on implementation schedule, readiness, governance, execution and important dates related to this circular will also be discussed extensively.
 - SEBI Circular dated 29th March'23: <https://apmiindia.org/storagebox/images/Circulars/Cyber-Security-29th-Mar'23.pdf>

Though this circular is currently applicable for Portfolio Managers having an AUM of > Rs 3000 crs as on 30th September 2023, we request for your participation in these calls, as we firmly believe that Cyber Security and its related discussions are an important milestone in the growth path of an organisation.

We look forward to your presence in these sessions and are hopeful that you will find them useful.

Thank You & look forward to your continuous support.

Warm Regards

Association of Portfolio Managers in India

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Contact us: <https://apmiindia.org/apmi/contactus.htm>

Web: <https://apmiindia.org/apmi/welcome.htm>

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