



**To:** Compliance Officers and Principal of all APMI Members

**Subject:** APMI Compliance Sutra Calendar for January 2025

**Date:** 14<sup>th</sup> January 2025

Greetings from APMI!

We deeply appreciate your continued support and participation in our *Compliance Sutra* initiative, which has been running successfully for over 16 months. To ensure we continue delivering value, we are excited to announce the upcoming sessions in January 2025, covering the below topics:

**1. PMS Internal Audit Compliances as per SEBI Regulations.**

- Audit requirement under Regulation 30(2) and 30(3) of SEBI Portfolio Managers Regulation
- Discussion on critical areas forming part of the audit scope

**Details of the 1st Compliance Sutra Call:**

- **Day and Date:** Friday, 17th January 2025
- **Time:** 3:30 pm to 4:30 pm
- **Video call link:** <https://meet.google.com/okz-jkrs-rzw?hs=224>

**2. Digital Personal Data Protection (DPDP) Act, 2023, which outlines key provisions, rights, obligations, and penalties under the Act.**

- This will be an introductory session and will serve as the first step toward understanding the implications of the Act for PMS Operations.
- The DPDP Act, 2023 aims to safeguard individual privacy while ensuring accountability for organizations processing personal data.
- It is essential for us to evaluate its impact on the data handling practices and ensure compliance.



## Details of the 2nd Compliance Sutra Call:

- **Day and Date:** Friday, 24th January 2025
- **Time:** 3:30 pm to 4:30 pm
- **Video call link:** <https://meet.google.com/vqj-oor-bej?hs=224>

Please note that presentations from previous Compliance Sutra sessions are available through your Member Desk login.

These sessions are tailored to meet the unique needs of emerging PMS players. We highly value your feedback and encourage you to share your thoughts with us at [feedback@apmiindia.org](mailto:feedback@apmiindia.org) and are hopeful that you will find these sessions both engaging and valuable. Looking forward to your participation.

Warm Regards

Association of Portfolio Managers in India

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- **Compliance Sutra calls conducted by APMI are exclusively for its Members.**