



To: All SEBI Registered Portfolio Managers
From: **APMI Principal Officer**
Subject: **APMI- Survey on SEBI Intermediary Portal**
Date: 20th July'2023

Greetings from APMI!

Dear All,

This is further with reference to the email below from SEBI.

1. Email with meeting details was received from the SEBI official on 17th July'23.
2. Representatives of APMI were requested to attend this meeting on 19th July'23 to give a brief.
3. SEBI has observed a non-submission/ delayed submission of the consolidated monthly report by the PMs on the SEBI Intermediary Portal (SI Portal).
4. The discussions in this meeting were around the SI portal, feedback of the industry on the same, issues faced by the PM's, monthly report submission process, monthly report submission on the APMI portal, list of PM's who are not submitting the report on APMI Portal and updation of the database.
5. Post the discussion, it was agreed that APMI will be reaching out to its Members & Non-Members and conduct a survey, covering the login issues, data updation and other related issues faced by the PMs, related to the SEBI Intermediary Portal (SI Portal).

Reference the above APMI is reaching out to you and requesting for your response to this survey.

- **Link for the survey:** https://docs.google.com/forms/d/17u74c7Uuzx2RJGMWizPTe_walHVrWuqeC5qcGsA28fr8/edit
- **Last date for submission: Monday 24th July'23 by 1.00 pm.**
- APMI has to submit the consolidated report of this survey to SEBI on Monday evening.

Looking forward to your support towards completion of this workflow.

Warm Regards,

Principal Officer,
Association of Portfolio Managers in India
B-121, 10th Floor, WeWork- Enam Sambhav
G-Block, Bandra Kurla Complex, Mumbai- 400051



----- Forwarded message -----

From: **SAMUEL MADDELA** <samuelbabu@sebi.gov.in>

Date: Mon, Jul 17, 2023 at 4:43 PM

Subject: Non-Submission/ Delayed submission of Consolidated monthly report by PMS-Meeting with Executive Director (Shri Manoj Kumar)

To: Rashim Bagga <rashim.bagga@apmiindia.org>

Cc: CHHAVI KAPOOR <chhavim@sebi.gov.in>, SARIKA KATARIA <sarikak@sebi.gov.in>

Dear Mr. Rashim Bagga,

Non-Submission/ Delayed submission of Consolidated monthly report by the PMS on SEBI Intermediary Portal (SI Portal) is observed. In this regard, a meeting has been scheduled with our Executive Director (Shri Manoj Kumar) on Wednesday i.e, July 19, 2023 at 11:00AM at our Office. Representatives of APMI (not more than 3 persons) are requested to attend and brief on this issue.

Please confirm the names of the persons attending the meeting.

Regards

Maddela Samuel Babu

Asst. General Manager,

Investment Management Department

Securities and Exchange Board of India

*SEBI Bhavan, Plot No C4-A "G" Block, Bandra Kurla Complex
Bandra (East), Mumbai-400 051*

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APMI

ASSOCIATION OF
PORTFOLIOMANAGERS
IN INDIA

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