



To: All SEBI Registered Portfolio Managers
From: APMI Principal Officer
Subject: **Survey 3- IMPLEMENTATION OF SEBI CIRCULAR DATED 30th SEPT'22.**
Date: 17th January, 2023

This is further with reference to the SEBI Circular Number SEBI/HO/IMD/IMD-I DOF1/P/CIR/2022/133 dated September 30th,2022 <https://tinyurl.com/2vvrhx58> Circular. The provisions of this Circular shall be applicable with effect from 1st April 2023.

We take this opportunity to thank you for your responses to our first and second surveys. The results of which formed the 1st & 2nd Report, which were submitted with SEBI on 1st December'22, 30th December'22 respectively.

Further with reference to clause number 6 of the Circular, wherein it has been mentioned that:

- **APMI shall endeavour to ensure** that all portfolio managers adhere to the activity wise time-lines given at Annexure A of the said Circular.
- **APMI has to furnish a progress** report with respect to the implementation of this Circular on a **bi-monthly basis** starting from 1st December 2022.

As we complete the 2nd milestone date of 28th February' 2023, given in the circular:

1. APMI has to submit the 3rd report with SEBI on Tuesday 31st January'2023.
2. Please find appended herewith the link of the survey: <https://docs.google.com/forms/d/1ixb9rv65YLhEkV1zVKYW8WMbHf6dO7ePuxqeAbhIA/edit>
3. Your responses to this survey are requested by Saturday 28th March'2023.

Submitting herewith the same for your support.

Regards,

Association of Portfolio Managers in India
B-121,10th Floor, WeWork-Enam Sambhav,
G-Block, Bandra Kurla Complex, Mumbai- 400051