



To: All SEBI Registered Portfolio Managers
From: Principal Officer
Subject: **2nd Survey on SEBI Intermediary Portal**
Date: 21st August'2023

Dear APMI Member,

This is further with reference to the APMI Survey dated 20th July 2023, related to the problem faced by the PM's on the SEBI Intermediary Portal. We take this opportunity to thank you for sharing your valuable feedback on the same.

- The next step on this is related to the data of the Portfolio Managers available on the SI Portal.
- APMI is conducting a survey for the confirmation of the same.
- To execute that, please find herewith the link for the **2nd Survey on SEBI Intermediary Portal** :
 - **Link**
for the survey: https://docs.google.com/forms/d/13Kd3GkV0MziwvQY2mhOnbQ_EsQo24b4o2yE2ZPXNr6A/
 - **Last date for submission: Friday 1st September'2023.**
- You are requested to submit your response to this survey **only** after checking your details on the SI portal.
- Please note that the updation of these details on the SI portal is part of the compliances which a PM has to adhere.

Looking forward to your support towards completion of this workflow.

Warm Regards,
Principal Officer- Association of Portfolio Managers in India
B-121, 10th Floor, WeWork- Enam Sambhav
G-Block, Bandra Kurla Complex, Mumbai- 400051

On **Fri, Aug 18, 2023** at 11:18 AM SAMUEL MADDELA <samuelbabu@sebi.gov.in> wrote:

Dear Mr.Rashim,

This has reference to our telecon this morning. As informed, please seek the PAN no. of both Principal Officer and compliance officer in the questionnaire.

Regards

*Maddela Samuel Babu
Asst. General Manager,
Investment Management Department
Securities and Exchange Board of India*

APMI
ASSOCIATION OF
PORTFOLIOMANAGERS
IN INDIA

ASSOCIATION OF PORTFOLIO MANAGERS IN INDIA
Corporate Office: B-121, 10th Floor, WeWork- Enam Sambhav G-Block, Bandra Kurla Complex, Mumbai- 400051
Maharashtra. CIN: U91100MH2021NPL374185.



SEBI Bhavan, Plot No C4-A "G" Block, Bandra Kurla Complex
Bandra (East), Mumbai-400 051

☎: 022 2644 9855 ✉: samuelbabu@sebi.gov.in

----- Forwarded message -----

From: **APMI Principal Officer** <principalofficer@apmiindia.org>

Date: Thu, Jul 20, 2023 at 5:30 PM

Subject: Fwd: APMI- Survey on SEBI Intermediary Portal

To: rashim bagga <principalofficer@apmiindia.org>

Dear All,

This is further with reference to the email below from SEBI.

1. Email with meeting details was received from the SEBI official on 17th July'23.
2. Representatives of APMI were requested to attend this meeting on 19th July'23 to give a brief.
3. SEBI has observed a non-submission/ delayed submission of the consolidated monthly report by the PMs on the SEBI Intermediary Portal (SI Portal).
4. The discussions in this meeting were around the SI portal, feedback of the industry on the same, issues faced by the PM's, monthly report submission process, monthly report submission on the APMI portal, list of PM's who are not submitting the report on APMI Portal and updation of the database.
5. Post the discussion, it was agreed that APMI will be reaching out to its Members & Non-Members and conduct a survey, covering the login issues, data updation and other related issues faced by the PMs, related to the SEBI Intermediary Portal (SI Portal).

Reference the above APMI is reaching out to you and requesting for your response to this survey.

- **Link**
for the survey: <https://docs.google.com/forms/d/17u74c7Uuzx2RJGMWlzPTewalHVrWuqeC5qcGsA28fr8/edit>
- **Last date for submission: Monday 24th July'23 by 1.00 pm.**
- APMi has to submit the consolidated report of this survey to SEBI on Monday evening.

Looking forward to your support towards completion of this workflow.

Warm Regards,

Principal Officer,

APMI
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PORTFOLIOMANAGERS
INDIA

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Association of Portfolio Managers in India
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G-Block, Bandra Kurla Complex, Mumbai- 400051

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From: **SAMUEL MADDELA** <samuelbabu@sebi.gov.in>

Date: Mon, Jul 17, 2023 at 4:43 PM

Subject: Non-Submission/ Delayed submission of Consolidated monthly report by PMS-Meeting with Executive Director (Shri Manoj Kumar)

To: Rashim Bagga <rashim.bagga@apmiindia.org>

Cc: CHHAVI KAPOOR <chhavim@sebi.gov.in>, SARIKA KATARIA <sarikak@sebi.gov.in>

Dear Mr.Rashim Bagga,

Non-Submission/ Delayed submission of Consolidated monthly report by the PMS on SEBI Intermediary Portal (SI Portal) is observed. In this regard, a meeting has been scheduled with our Executive Director (Shri Manoj Kumar) on Wednesday i.e, July 19, 2023 at 11:00AM at our Office. Representatives of APMI (not more than 3 persons) are requested to attend and brief on this issue.

Please confirm the names of the persons attending the meeting.

Regards

Maddela Samuel Babu

Asst. General Manager,

Investment Management Department

Securities and Exchange Board of India

*SEBI Bhavan, Plot No C4-A "G" Block, Bandra Kurla Complex
Bandra (East), Mumbai-400 051*

☎: 022 2644 9855 **✉**: samuelbabu@sebi.gov.in

APMI

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